

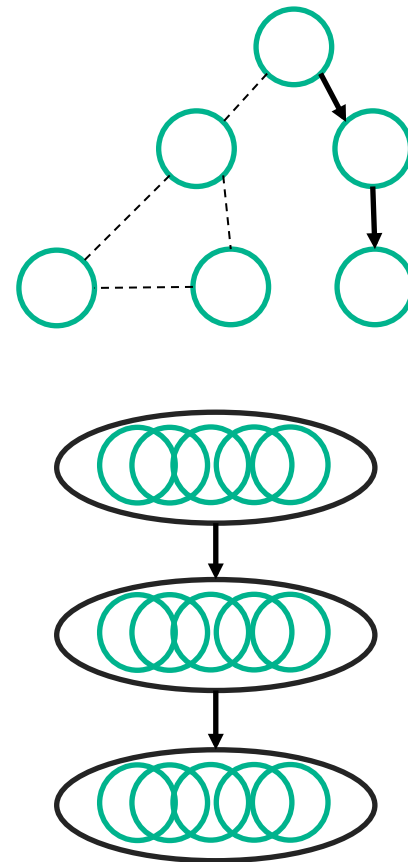
# Using Genetic Programming for Software Reliability

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# What is genetic algorithms [Holland71]?

- **Heuristic** search strategy.
- **Beam search**: progresses from one set of points [“generation” of “candidates”] to another, no backtracking.
- Uses ideas from genetic evolution: reproduction, mutation, probabilistic process.
- Parallelizable!





# What can we do with a candidate?

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**Reproduction:** candidate will *continue* to the next generation (possibly with the following changes).

**Mutation:** will make some probabilistic local changes.

**Crossover:** a pair of new candidates are formed by inheriting properties from a pair of parents.



# Representation

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- Each candidate is a string of fixed length corresponding to a chromosome.

1100111000111100100010111011111



# Crossover

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- Take two candidates and decide which position of letters to take from which parent.

01100101 10010111



# Crossover

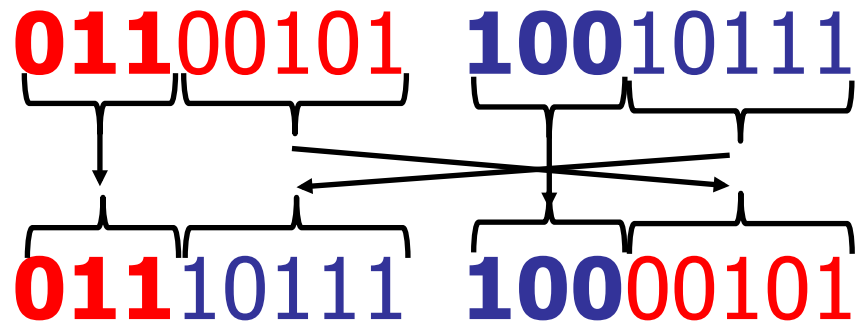
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- Take two candidates and decide which position of letters to take from which parent.

**01100101**   **10010111**

# Crossover

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# Mutation

---

- With some small probability  $p$ , decide whether to change each letter.

010101010





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---

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010101010

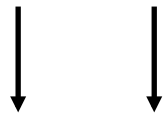


# Mutation

---

- With some small probability  $p$ , decide whether to change each letter.

010101010



000111010



# Use fitness

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- Fitness value (say, between 0 and 100) represents an estimate of how good is a candidate.
- It is important that fitness values are dense (“smooth landscape”) to be able to distinguish between candidates.
- Candidates propagate from one generation to the next one proportional to the *ratio of their fitness and the average generation fitness*.



# Use probability for:

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- Generating **initial** candidates.
- Deciding which candidates will **reproduce** to the next generation. The probability is the relation between fitness value and average fitness of the generation.
- Deciding which candidates to apply **crossover** on, then the positions to select from each parent.
- Deciding whether to **mutate** a position in the string with some small probability  $p$ .



# Combining it all

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1. Generate at random the candidates of first generation.
2. Calculate fitness for candidates.
3. Stop if a “good” candidate was found.
4. Select candidates for reproduction based on fitness .  
Apply probabilistically mutation and crossover.
5. Repeat from Step 2 unless generation limit exceeded.
6. Can repeat process with a new random seed or change parameters.



# Some math “schema theorem”.

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- Consider only mutation (no crossover).
- We assume that **a good solution is built from “good” building blocks (schemas)** of the form e.g.,  $1*0*1$ , where 0 and 1 are constants, and \* is a “wild card”.
- Thus, the scheme  $1*0*1$  has 4 candidates.
- There are  $3^n$  schemes (but  $2^{2^2}$  subsets).



# Math (to show its not magic)...

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- The expected number of times a candidate  $x$  will propagate to the next generation  $t+1$  is  $f(x)/g(t)$ : proportional to its fitness  $f(x)$  divided by the average generation fitness  $g(t)$ .
- $N(s,t)$  – number of candidates of schema  $s$  in generation  $t$ .  
 $u(s,t)$  – average fitness of candidates of schema  $s$  in generation  $t$ .
- Expected number of schema  $s$  candidates propagating to next generation:

$$N(s,t) \cdot \frac{u(s,t)}{g(t)} = \sum_x N(x,t) \frac{f(x)}{g(t)}$$



# Math...

---

$$\sum_x N(s, t) \uparrow f(x) / g(t) = u(s, t)$$

$$N(s, t) / g(t)$$

- Order of scheme  $s$ :  $O(s)$  – number of non \* elements.
- Probability of not ruining the scheme by mutation:  $(1-p)^{O(s)}$

So, including the effect of mutation, we have

$$N(s, t+1) = u(s, t) + N(s, t) (1-p)^{O(s)} / g(t)$$

Can grow exponentially with the generations.





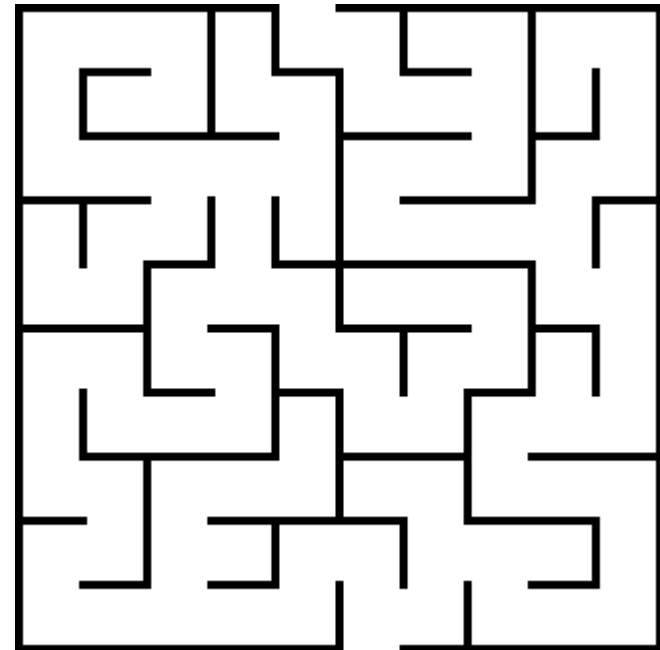
# Some more good points

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- Propagation can be parallelized.
- Propagation works on multiple schemes.
- If this did not convince you, well, some say its completely bullshit...

# Classical example: solving a maze

- Candidates: string represents directions  
00=left, 01=right,  
10=down, 11=up.
- Fitness: follow a path.  
When cannot continue,  
use next move. Calculate  
the vertical+horizontal  
distance to end point.  
Fitness is reverse  
proportional to this value.





# Use for testing [Godefroid, Khurshid]

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- Test cases are represented as sequences of choices. [some concerns about fixed size representation].
- Fitness: shrinks with the number of enabled transitions along the test path; smaller number of transitions often lead to an error.  
Grows with the number of inline assertions along the path.  
Grows with the number of messages passed.
- Use *crossover* to generate new test cases.

# List of applications for genetic algorithms



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- Airlines revenue management<sup>[1]</sup>
- [Audio watermark insertion/detection](#)
- Automated design = [computer-automated design](#)
- Automated design of [mechatronic](#) systems using [bond graphs](#) and [genetic programming](#) (NSF)
- Automated design of industrial equipment using catalogs of exemplar lever patterns
- Automated design of sophisticated trading systems in the financial sector
- Bayesian inference links to particle methods in Bayesian statistics and hidden Markov chain models<sup>[2][3]</sup>
- [Code-breaking](#), using the GA to search large solution spaces of [ciphers](#) for the one correct decryption.<sup>[15]</sup>
- Computer architecture: using GA to find out weak links in [approximate computing](#)
- .....

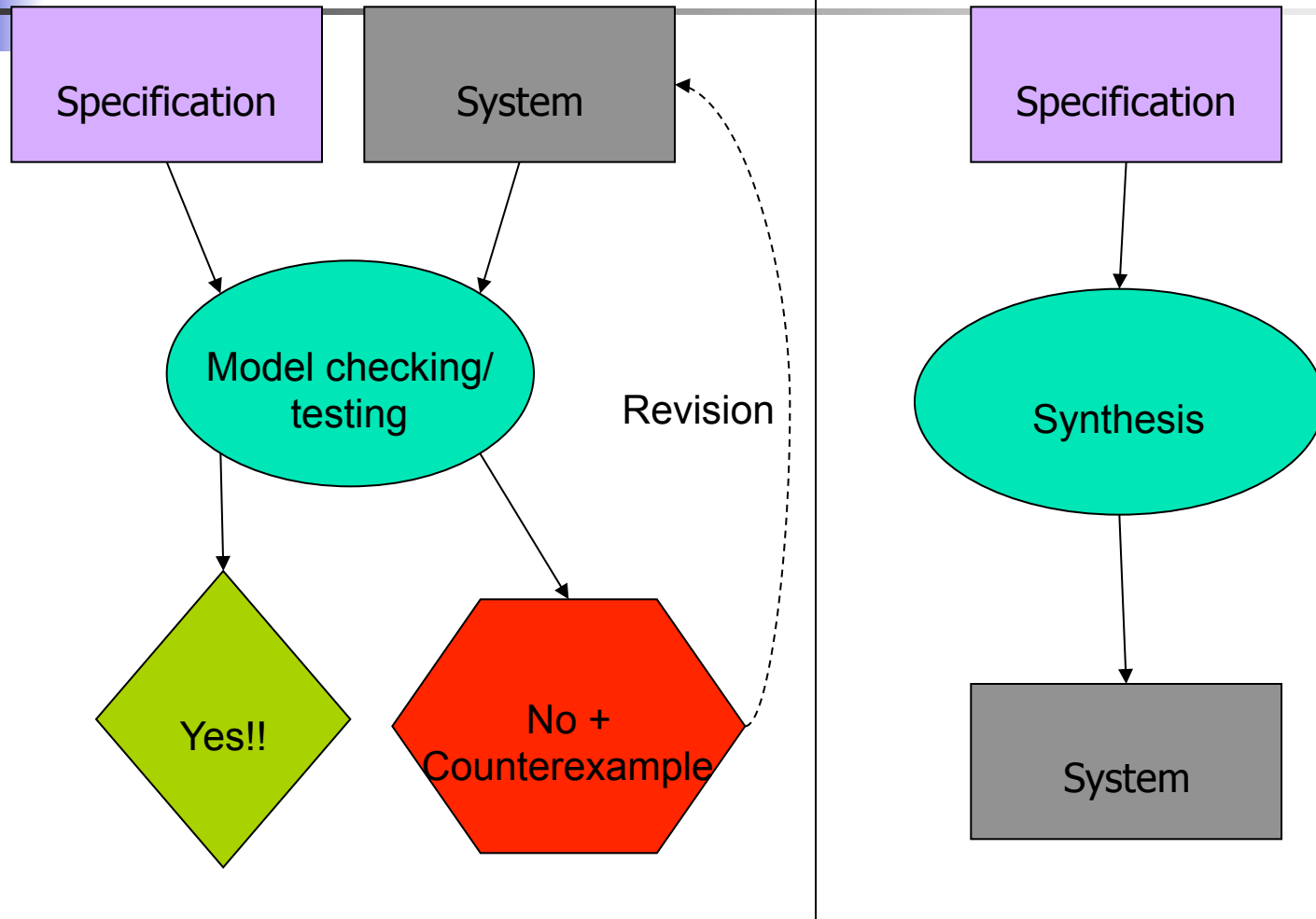


# My favorite application

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- Find a strategy for Nash-style games, e.g.,
  - Rock-Paper-Scissors,
  - Prisoner's dilemma,
  - Actually: financial market algorithms.

# Why not synthesize the software directly from specification?





# Complexity of sequential synthesis is high

---

- 2EXPTIME Complete for LTL specification.
- ... But, there are provable systems where the number of states is doubly exponential.
- But must the size of a circuit that implements such a system be also doubly exponential?
- [Fearnly+Peled+Schewe]:  
If we knew, we could have decided whether  $\text{EXPSPACE} = 2\text{EXPTIME}$  or not.



# Concurrent synthesis

---

- Several processes, with some communication architecture. We want the system to satisfy some LTL property.
- [Pnueli+Rosner]: It is undecidable even to check whether there is a system with the given architecture that satisfies the LTL property.
- But under some strong assumptions (e.g., hierarchical systems) we can solve this [Pnueli+Rosner], [Finkbeiner+Schewe], [Thiagarajan+Madhusudan], [Kupferman+Vardi].



# Mostly, negative results about synthesis of concurrent systems.

- Few positive results: ..., it is decidable for some very limited architectures, mostly when there is a hierarchy between the processes.
- ... in these cases, the complexity is very high ...



A SHORT HISTORY OF MODERNIST PAINTING\* (DETAIL) MARK TANSEY

# How to construct a model from the specification?

## Synthesis

- Transforms spec. directly to a model that satisfies it.
- Hard (complexitywise) and sometimes undecidable.
- Brute-force enumeration [Bar David, Taubenfeld]
  - All possible programs of a specific domain and size are generated and model-checked.
  - All existing solutions will eventually be found.
  - Highly time-intensive. Not practical for programs with more than few lines of code.
- **Sketching** [Lazema]: small variants, resolved through SAT solving.



# Genetic Programming

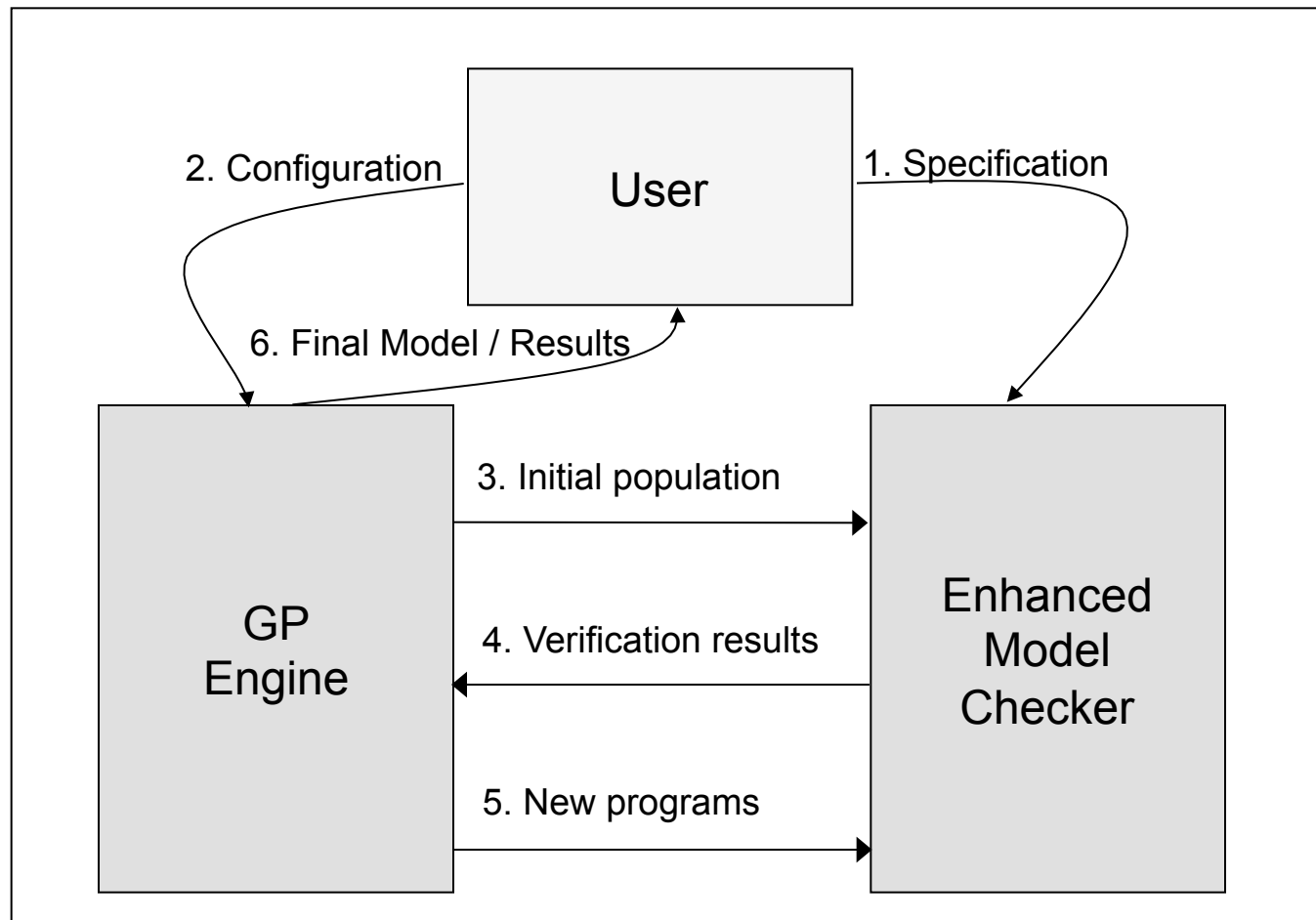
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- A methodology for automatic programming inspired by Darwinian evolution [Koza 92].
- Used for automatic generation of programs in various fields.
- Mostly used for optimization related problems.
- Fitness is usually calculated by checking program performance against *test cases*.
- Less used for problems with a strict specification.
- There is no notion of fixed size chromosome. One usually uses syntax trees.
- There are also schema theorems for genetic programming.

# Main Steady-state GP Algorithm

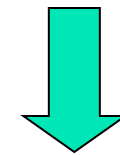
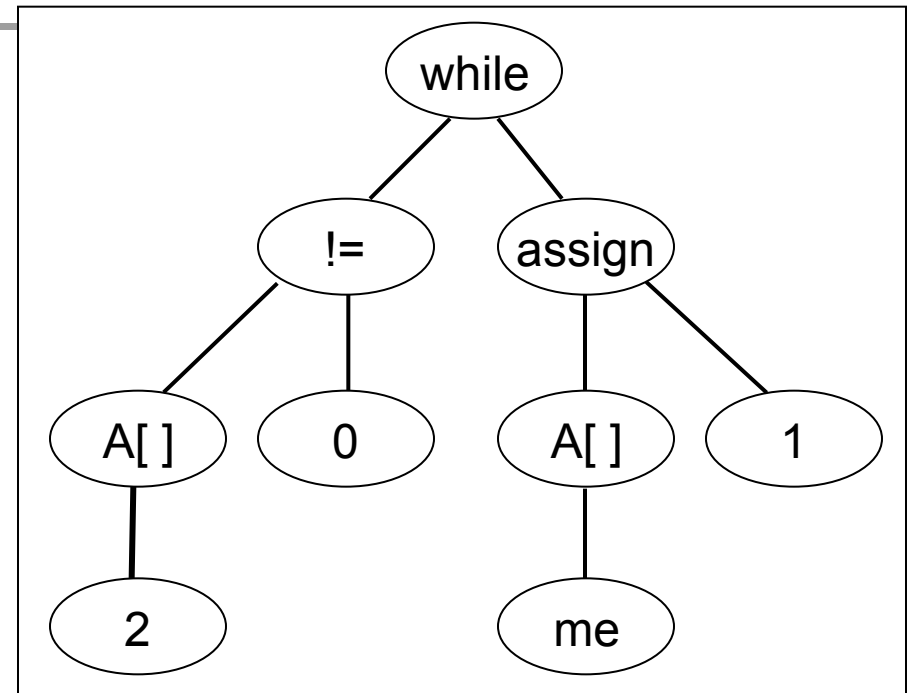
1. Create initial program population.
2. Randomly generate  $\mu$  programs.
3. Create  $\lambda$  new programs by applying genetic operations to the above  $\mu$  programs.
4. Calculate fitness function for  $\mu + \lambda$  programs, and use it to select  $\mu$  new programs.
5. Replace the old  $\mu$  programs by the selected ones.
6. Repeat steps 2-5 until either:
  - a. a perfect solution is found, or
  - b. maximum allowed number of iterations is reached.

# Combining GP & Model Checking



# Program Representation

- Programs are represented as trees.
- Internal nodes represent expressions or instructions with parameters (**assignment, while, if, block**).
- Terminal nodes represent constants or expressions without any parameter (**0, 1, 2, me, other**).
- Strongly-typed GP is used [Montana 95].



```
While (A[2] != 0)  
  A[me] = 1
```



# Initial Population Creation

---

- Population usually contains 100 – 1000 programs.
- Program are created recursively using the “grow” method [KOZA 92].
  - The root is randomly selected from instruction nodes.
  - Offspring are randomly selected from allowed node or terminals as long as rules are preserved.
  - If max tree depth is reached, a terminal must be chosen.



# Genetic Operations

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- At each iteration of the GP algorithm, the following genetic operations are applied to the selected programs:
  - Reproduction – programs are copied without any change
  - Mutation
  - Crossover





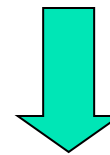
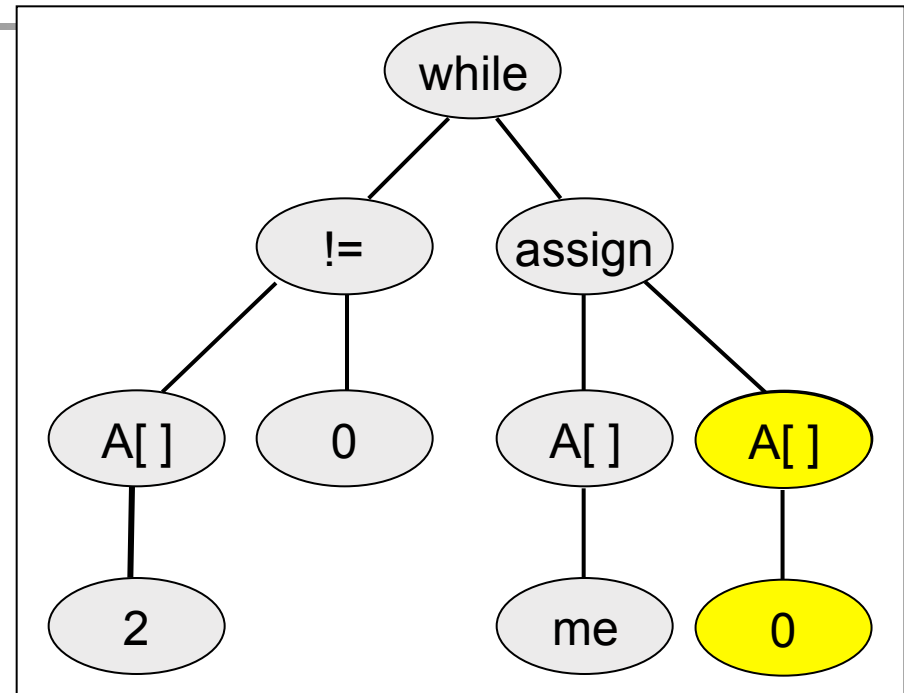
# Mutation Operation

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- The main operation we use.
- Allows performing small modifications to an existing program by the following method:
  - Randomly choose a program node (internal, or leaf).
  - According to the node type, apply one of the following operations with respect to the chosen node (strong typing must be kept):

# Replacement Mutation type (a)

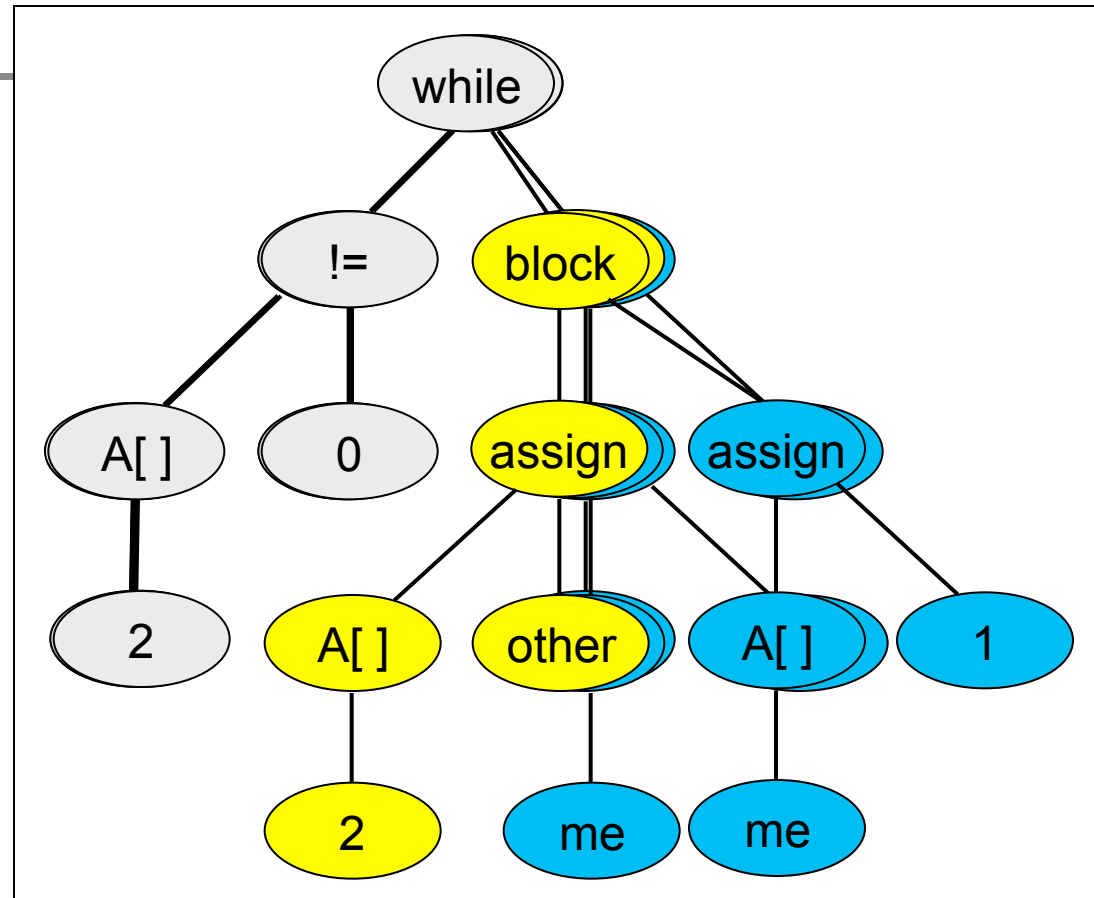
- Replace the subtree rooted by node with a new randomly generated subtree.
- Can change a single node or an entire subtree.



```
While (A[2] != 0)  
  A[me] = A[0]
```

# Insertion Mutation type (b)

- Add an immediate parent to the selected node.
- Randomly create other offspring to the new parent, if needed.
- According to the selected parent type, can cause:
  - Insertion of code,
  - Wrapping code with a while loop,
  - Extending Boolean expressions.



While (A[2] != 0)  
A[2] = other  
A[me] = 1



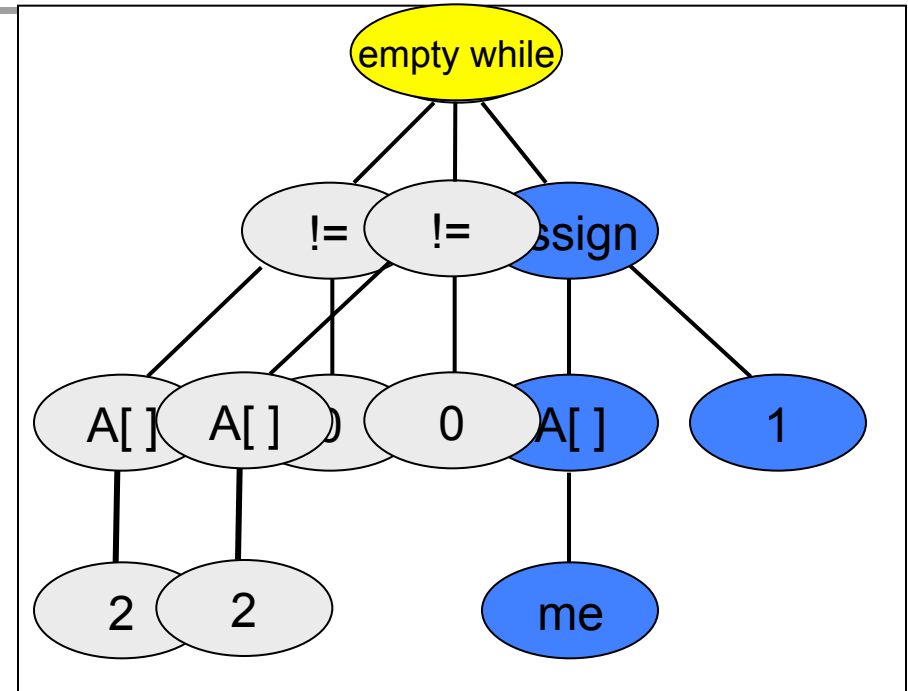
# Reduction Mutation Type (c)

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- Replace the selected node by one of its offspring.
- Delete the remaining offspring of the node.
- Has the opposite effect of the previous insertion mutation, and reduces the program size.

# Deletion Mutation Type (d)

- Delete the subtree rooted by the node.
- Update ancestors recursively.



```
While (A[2] != 0)  
  A[me] = 1
```



# Mutation testing

---

- Mutation testing is used to check whether a test suite is good.
- Use mutations on the program, and check whether there is at least one test in the suite that can separate the behavior of the code with the mutation.
- Instead of providing fitness to the mutated code using a test suite, provide fitness to the test suite by mutating the code.
- Hypothesis: most programming errors are related to making a small error in switching something.
- If there is not, extend the test suite (e.g., based on path conditions, or GK genetic algorithm).

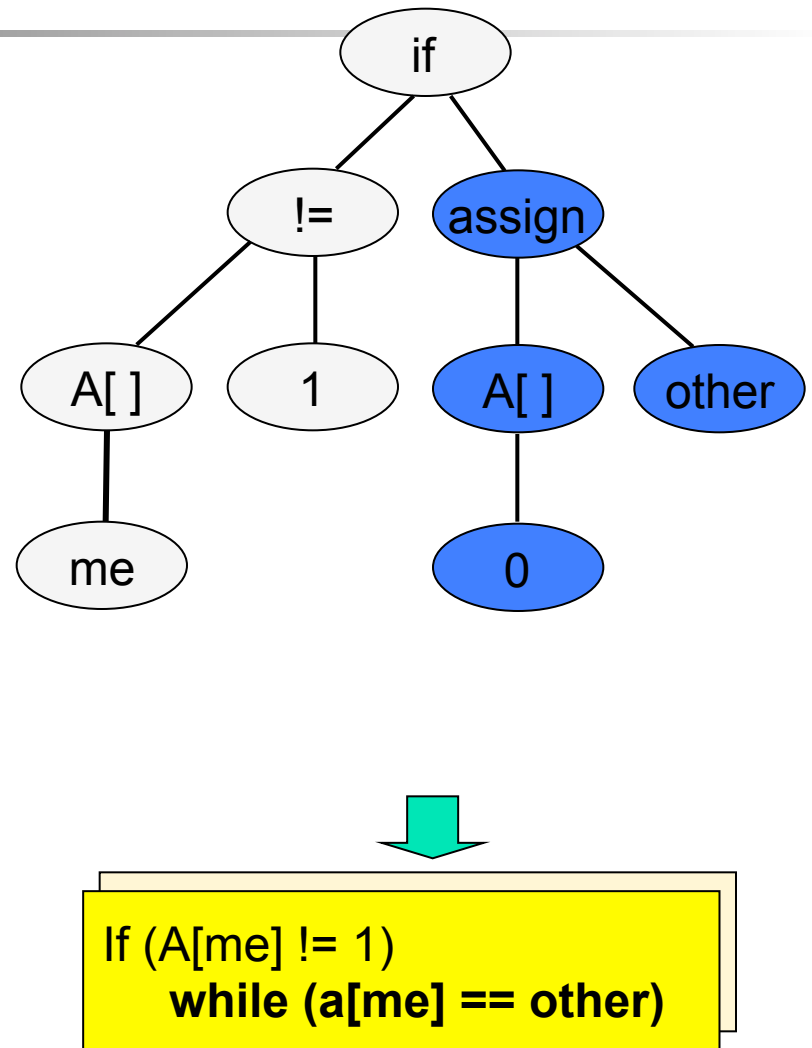
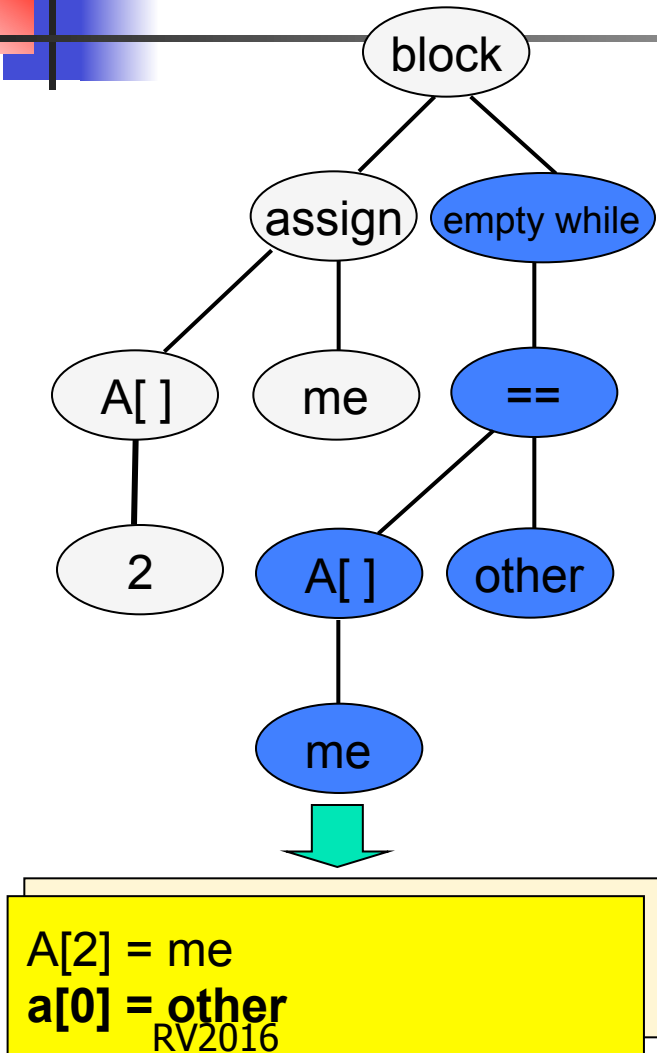


# Crossover Operation

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- Creates new programs by merging building blocks of two existing programs.
- Crossover steps are:
  - Randomly choose a node from the 1<sup>st</sup> program.
  - Randomly choose a node from the 2<sup>nd</sup> program, that has the same type as the 1<sup>st</sup> node.
  - Exchange between the sub-trees rooted by the two nodes, and use the two newly created programs.

# Crossover Example







# Crossover (“excuses”)

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- Heavily used by traditional GP [Koza].
- Tries to mimic biological process, but
- Unlike biology reproduction (and unlike GA), GP lacks the notion of “genes” [Banzhaf et al. 01].
- Often acts only as a macro-mutation.
- Various methods were developed in order to turn it into a more fruitful operation.
- Still, not a significant operation for small programs like those of Mutual Exclusion.
- Maybe my Phd student just did not want to implement it...



# Selection

---

- At each iteration, selection is applied to all  $\mu + \lambda$  programs (over-production selection).
- Program are selected using a fitness-proportional (roulette) method [Holland 92].

# Building Program's State-graph

Each state consists of values of variables, program counters, buffers, etc.

- Edges represent atomic transitions caused by program instructions.

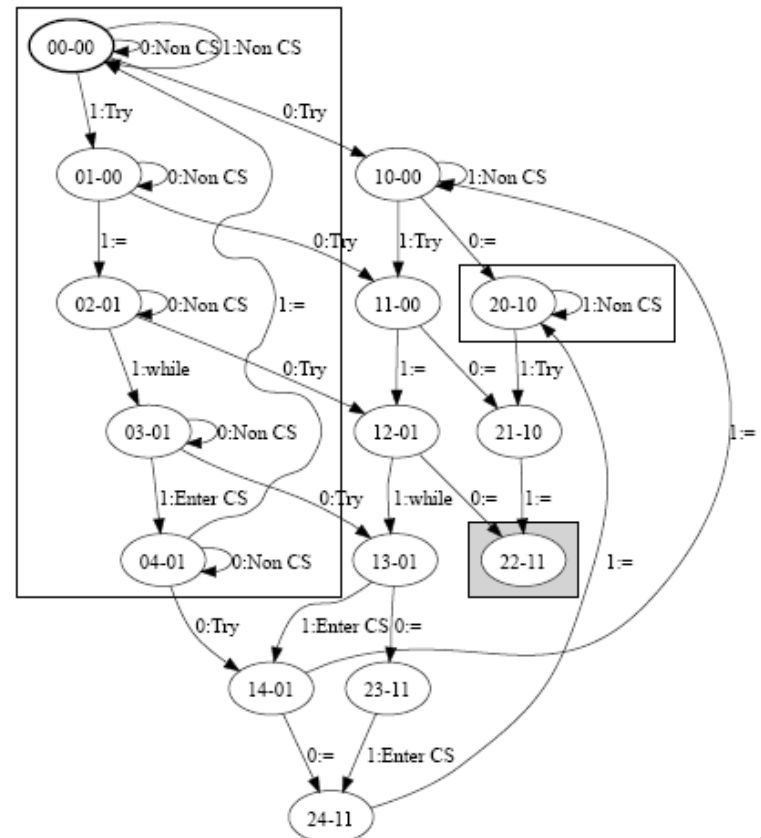
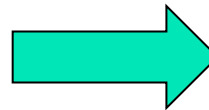
Non Critical Section

`A[me] = 1`

`While (A[1] == A[other])`

Critical Section

`A[1] = other`



- Can be built by a DFS algorithm.
- Can be decomposed into SCCs [Tarjan 72].



# Example: The Mutual Exclusion Problem

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- Originally described by [Dijkstra 65].
- Many variants and solutions exist.

*while **wi** do*

*Pre Protocol*

*Critical Section*

*Post Protocol*

*end while*

- We want to automatically generate correct code for the pre and post protocol parts.



# Specification

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- We use Linear Temporal Logic (LTL) [Pnueli 77] to define specification properties.
- LTL formulas are interpreted over an infinite sequences of states, and consist of:
  - Propositional variables,
  - Logical connectives, such as  $\neg$ ,  $\wedge$ ,  $\vee$ ,  $\rightarrow$ , and
  - Temporal operators, such as:
    - $\diamond(p)$  –  $p$  will eventually occur.
    - $\blacklozenge(p)$  –  $p$  always occurs.
- A model  $M$  satisfies a formula  $\varphi$  ( $M \models \varphi$ ) if every (fair) run of  $M$  satisfies  $\varphi$ .



# Specification

---

- Safety:  $\neg (p_0 \text{ in } CS_0 \wedge p_1 \text{ in } CS_1)$
- Liveness:  $\bigcirc (p_i \text{ in } \text{pre}CS_i \rightarrow p_i \text{ in } CS_i)$
- Not enough:  
solution based on  
alternation requires  
always willing to  
enter critical  
section.
- That's why we added *wi*  
to control process' wishing  
to enter CS.

```
L0:While True do  
    NC0:wait(Turn=0);  
    CR0:Turn=1  
endwhile | |  
L1:While True do  
    NC1:wait(Turn=1);  
    CR1:Turn=0  
endwhile
```

# Instrument code as in RV to check LTL properties

- Use randomization for scheduling.
- Run  $k$  experiments where only one process wants to enter its critical section. In  $k_1$  of them it succeeds.
- Run  $m$  experiments where both processes want. In  $m_1$  of them only one succeeds, in  $m_2$  both succeeds.  $m_1 + m_2 \leq m$ .
- Choose  $a, b, c, a+c=100, b < c$ .
- Fitness:  $a \times k_1/k + b \boxed{?} m_1/m + c \boxed{?} m_2/m$
- Further separate  $k_1, m_1, m_2$  to cases where entering once or multiple times.



# Model Checking and GP

---

- Can standard model checking results be used as a GP fitness function?
- Yes, but [Johnson 07]: a fitness function with just two values per property is a poor one. Need more fitness levels.
  - No execution satisfies the property.
  - Some executions satisfy the property.
  - Every prefix of a bad execution can be continued to a good execution in the program (so, we made infinitely many “bad” choices”).
  - Statistically, at least/less than some portion of the executions satisfy the property.
  - All the executions satisfy the property.





# Converting specification to $\omega$ -automaton

---

- Every LTL property can be converted into a Buchi automaton with a size exponential to the LTL formula size [Vardi & Wolper 94].
- For deterministic Streett automata, a determinization process is also required [Safra 88]. Expensive!! Avoid for probabilistic similar properties...
- May result in a doubly exponential blowup from LTL property.



# The Model Checking Process

## [Vardi & Wolper 86]

---

- Both model and specification are converted to  $\omega$ -automata over the same alphabet.
- The alphabet is  $2^{AP}$ , where  $AP$  denotes a set of atomic propositions that may hold on the system states.
- Every word accepted by  $M$  (a fair run) should be accepted by the spec, therefore we have to check whether:  $L(M) \subseteq L(\varphi)$ .



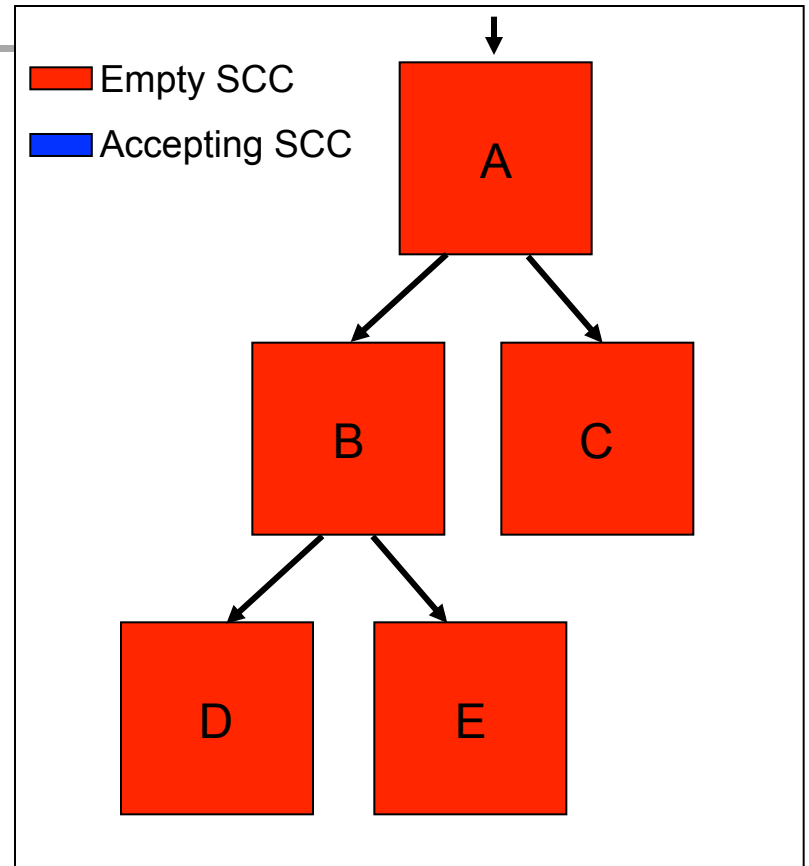
# Model Checking and GP

---

- Can standard model checking results be used as a GP fitness function?
- Yes, but it was done so far with a limited success [Johnson 07].
- A fitness function with just two values is a poor one.
- We wish to analyze the model checking graph in order to quantify the level of satisfaction.

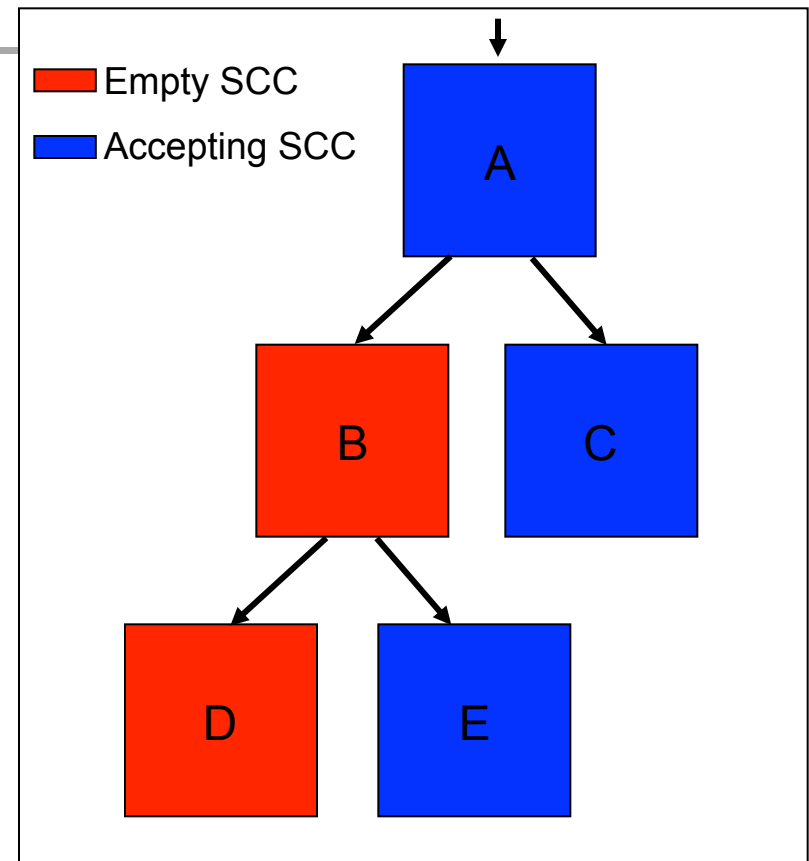
# Fitness Level 0

- All SCCs are empty (not accepting).
- Property is never satisfied.
- No scheduler choices are needed.



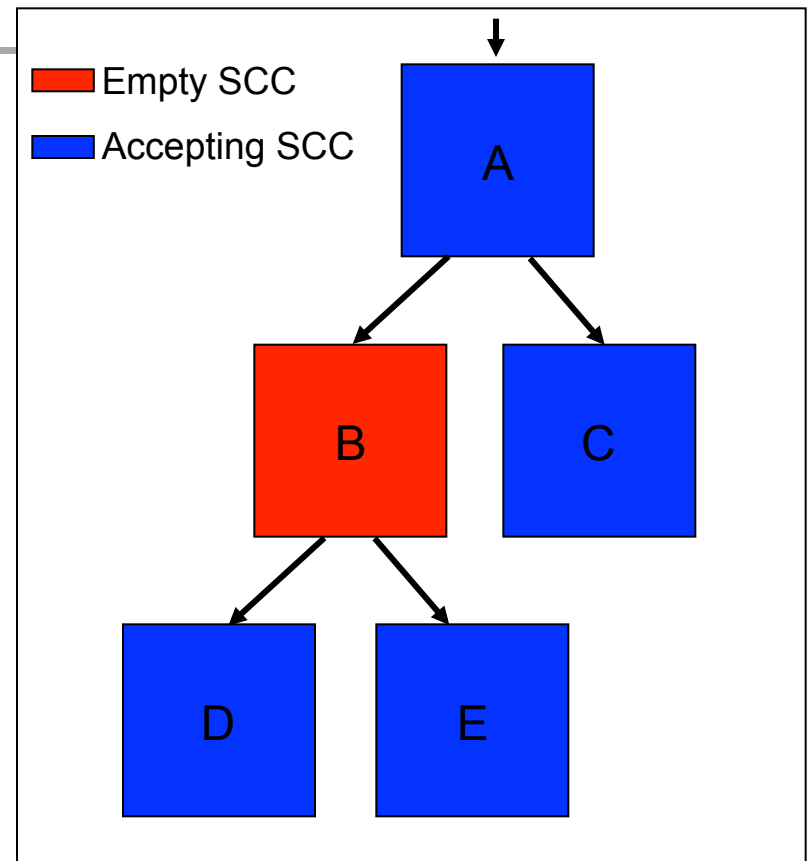
# Fitness Level 1

- At least one accepting SCC.
- At least one empty bottom SCC.
- Finite number of scheduler choices can lead the execution into the empty BSCC (D in the example).
- The program will stay there forever.
- BSCC with only 1 node means a deadlock → gets worse score.



# Fitness Level 2

- All BSCCs are accepting.
- At least one empty SCC.
- Infinite scheduler choices are needed for keeping the program inside the empty SCC (B in the example).



# Fitness Level 3



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- All executions are accepting.
- This can be checked by converting the negation of the property, and checking the emptiness of the intersection.



# Overall Fitness Function

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- Fitness levels & scores are calculated for each specification property.
- How to merge into a single fitness function?
- Naïve summing can bias the results, since some properties may be trivially satisfied when more basic properties are violated.
- Thus, spec. properties are divided into levels, starting from level 1 for most basic properties.
- As long as not all properties at level  $i$  are satisfied, properties at higher level gets fitness of 0.

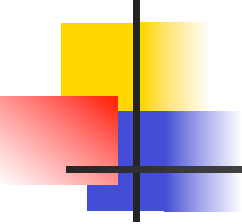


# Parsimony



- GP programs tend to grow up over time to the maximal allowed tree size (“bloating”).
- To avoid that, we use parsimony as a secondary fitness measure.
- Number of program nodes \* small factor is subtracted from the fitness score.
- The factor should be carefully chosen.
  - Should encourage programs to reduce their size, but
  - Should not harm the evolutionary process.
- Therefore, programs cannot get a score of 100, but only get close to it. The run can be stopped when all properties are satisfied.
- Programs can be reduced either by mutations, or directly by detecting dead code by the model checking process, and then removing it.

# “Vacuity”

- 
- A special care is needed for implication properties of the form  $\diamond(p \rightarrow \diamond q)$ .
  - Some (or all) executions may be vacuously satisfied if  $p$  never happens.
  - We are usually interested only on runs when  $p$  eventually occurs.
  - Other runs are neither good nor bad. They are **irrelevant**.
  - Thus, in these cases, the program automata is first intersected with the property  $\diamond p$ .



# The Mutual Exclusion Problem

---

- Many variants and solutions exist.
- Modeled using the following program parts inside a loop in each process:
  - Non Critical Section
  - Pre Protocol
  - Critical Section
  - Post Protocol
- We wish to automatically generate correct code for the pre and post protocol parts.

# Spec. Properties

The specification includes the following LTL properties:

No.	Type	Definition	Description	Level
1	Safety	$\Box \neg (p_0 \text{ in CS} \wedge p_1 \text{ in CS})$	Mutual Exclusion	1
2	Liveness	$\Box (p_0 \text{ in Post} \rightarrow \Diamond (p_0 \text{ in NonCS}))$	Progress	2
3		$\Box (p_1 \text{ in Post} \rightarrow \Diamond (p_1 \text{ in NonCS}))$		
4		$\Box (p_0 \text{ in Pre} \wedge \Box (p_1 \text{ in NonCS})) \rightarrow \Diamond (p_0 \text{ in CS})$	No Contest	3
5		$\Box (p_1 \text{ in Pre} \wedge \Box (p_0 \text{ in NonCS})) \rightarrow \Diamond (p_1 \text{ in CS})$		
6		$\Box ((p_0 \text{ in Pre} \wedge p_1 \text{ in Pre}) \rightarrow \Diamond (p_0 \text{ in CS} \vee p_1 \text{ in CS}))$	Deadlock Freedom	4
7		$\Box (p_0 \text{ in Pre} \rightarrow \Diamond (p_0 \text{ in CS}))$	Starvation	
8		$\Box (p_1 \text{ in Pre} \rightarrow \Diamond (p_1 \text{ in CS}))$		

- Some properties are weaker/stronger than others, but they produce additional levels!



# Runs Configuration

---

- The following parameters were used:
  - Population size: 150
  - Max number of iterations: 2000

In the following examples, we will show only the body of the while loop for one process (the other is symmetric).



# An Example of a Run (1<sup>st</sup> variant)

---

```
Non Critical Section
if (A[0] == 0)
    A[0] = A[1]
Critical Section
A[1] = A[other]
```

**Score: 0.0**

- Randomly created.
- Does not satisfy mutual exclusion property.
- Higher level properties are set to 0.



# An Example of a Run (1<sup>st</sup> variant)

```
Non Critical Section  
While (A[1] != me)  
Critical Section  
A[0] = 0
```

**Score: 66.77**

- Randomly created.
- While loop guarantees mutual exclusion.
- Only process 0 can enter the critical section.



# An Example of a Run (1<sup>st</sup> variant)


```
Non Critical Section  
While (A[1] != me)  
Critical Section  
A[1] = other
```

**Score: 75.77**

- Last line changed by a mutation.
- The naïve mutual exclusion algorithm.
- Processes uses a “turn” flag, but depend on each other.



# An Example of a Run (1<sup>st</sup> variant)



---

```
Non Critical Section
```

```
A[me] = 1
```

```
While (A[other] != 0)
```

```
Critical Section
```

```
A[other] = A[other]
```

**Score: 70.17**

- An important building block common to many algorithms.
- Each process set its own flag and wait for other's flag, but
- The flag is not turned off correctly.
- Might eventually deadlock.

# An Example of a Run (1<sup>st</sup> variant)

```
Non Critical Section
A[me] = 1
While (A[other] != 0)
Critical Section
A[me] = me
```

**Score: 76.10**

- Last line is replaced by a mutation.
- Now, process 0 correctly turns its flag off.
- Property 5 is fully satisfied

# An Example of a Run (1<sup>st</sup> variant)

Non Critical Section

A[me] = 1

While (A[other] != 0)


Critical Section

A[me] = 0

**Score: 92.77**

- A single node is changed by a mutation.
- Both processes turn off their flag.
- Properties 4 and 5 are fully satisfied.
- Still, deadlock occurs if both processes try to enter simultaneously.

# An Example of a Run (1<sup>st</sup> variant)



```
Non Critical Section
```

```
A[me] = 1
```

```
While (A[other] != 0)
```

```
    A[me] = 1
```

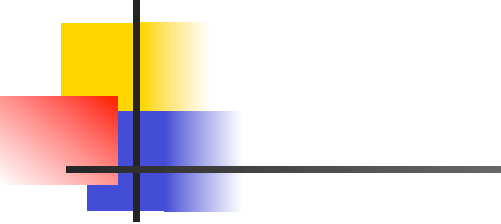
```
Critical Section
```

```
A[me] = me
```

**Score: 93.20**

- A mutation added a line to the empty while loop.
- This turns the deadlock into a livelock, and causes a slight fitness improvement.

# An Example of a Run (1<sup>st</sup> variant)



```
Non Critical Section
```

```
A[me] = 1
```

```
While (A[other] != 0)
```

```
    A[me] = me
```

```
    A[me] = 1
```

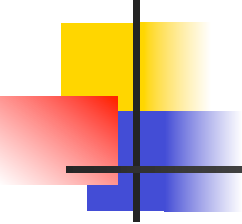
```
Critical Section
```

```
A[me] = 0
```

**Score: 94.37**

- Another line is added to the while loop.
- No more dead or live locks, but property can still be violated by some infinite scheduler choices.

# An Example of a Run (1<sup>st</sup> variant)



```
Non Critical Section
```

```
A[me] = 1
```

```
While (A[other] != 0)
```

```
    A[me] = me
```

```
    While (A[other] != A[0])
```

```
        While (A[1] != 0)
```

```
            A[me] = 1
```

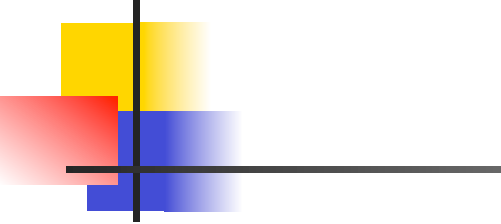
```
Critical Section
```

```
A[me] = 0
```

**Score: 96.50**

- Created by some random mutations.
- All properties are satisfied.
- Still, not the shortest solution.

# An Example of a Run (1<sup>st</sup> variant)



```
Non Critical Section
```

```
A[me] = 1
```

```
While (A[other] != 0)
```

```
    A[me] = me
```

```
    While (A[other] == 1)
```

```
        A[me] = 1
```

```
Critical Section
```

```
A[me] = 0
```

**Score: 97.10**

- Created by more mutations.
- The shortest found algorithm.
- Identical to the known “One bit protocol” [Burns & Lynch 93].



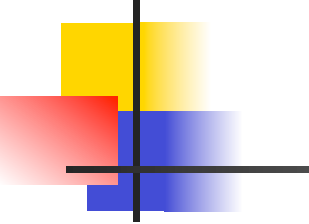
# More experiments

---

- Successfully found Dekker's algorithm. [Dijkstra 65].
- Successfully found Peterson's algorithm. [Peterson & Fisher 77].
- Found a shorter algorithm than Dekker's.



# Performance



Variant No.	Successfull runs (%)	Avg. run durtaion (sec)	Avg. no. of tested programs per run
1	40	128	156600
2	6	397	282300
3	7	363	271950

- First variant was easiest to solve.
- Other variants are much harder to find.
- Still, much better than brute-force methods.
- Checked some more complicated requirement on efficiency (number of times of checking variables). Found improvid original algorithm!

# MCGP – A Software Synthesis Tool Based on Model Checking and Genetic Programming

The screenshot displays the MCGP software interface with several panels:

- Atomic propositions:** A table listing names and their definitions.
 

Name	Definition
non1	@state[0] == 0
non2	@state[1] == 0
try1	@state[0] == 1
try2	@state[1] == 1
cs1	@state[0] == 2
cs2	@state[1] == 2
post1	@state[0] == 3
post2	@state[1] == 3
- LTL Properties:** A table listing names, levels, and properties.
 

Name	Level	Property
cs	1	[]!(cs1 && cs2)
progress1	2	[]!(post1 -> <>non1)
progress2	2	[]!(post2 -> <>non2)
no-content1	3	[]!(try1 && []non2) -> <>
no-content2	3	[]!(try2 && []non1) -> <>
entrance1	4	[]!(try1 -> <>[cs1    cs2])
entrance2	4	[]!(try2 -> <>[cs1    cs2])
- Settings:** A panel with a "Max search deptsh:" input field set to 5000.
- Generated code:** A text area showing the synthesized code:
 

```
While (True)
  choose
    Nop
  or
    state[me] = TRY_CS
    A[other] = 1
    Nop
    While (1 == A[me])
      Nop
    state[me] = ENTER_CS
    state[me] = LEAVE_CS
    A[other] = 0
    state[me] = NON_CS
```
- Properties:** A table showing the fitness of various properties.
 

Name	Fitness %
cs	100
progress1	100
progress2	100
no-content1	100
no-content2	100
entrance1	33
entrance2	33
ProgramSize	2
- Best generated programs:** A table showing the top-performing programs.
 

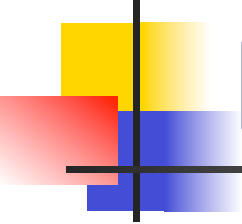
Program id	Fitness %	iteration
132	27.81	0
642	60.10	4
2629	60.11	20
6292	60.11	49
16991	78.59	135
- Performance Metrics:**
  - Total fitness %: 78.59 (indicated by a green progress bar)
  - Elapsed time: 0:00:50
  - Total iterations: 145
  - Best program's fitness %: 78.59 (indicated by a green progress bar)



# Tool Evaluation

---

- Using the tool, solutions to a series of problems:
  - Classical mutual exclusion algorithms
  - Novel mutual exclusion algorithms
  - Parameterized leader election protocols
  - Discovering and correcting a bug in a-core protocol
- Synthesis takes between seconds to hours.
- Can benefit from modern multi-core machines



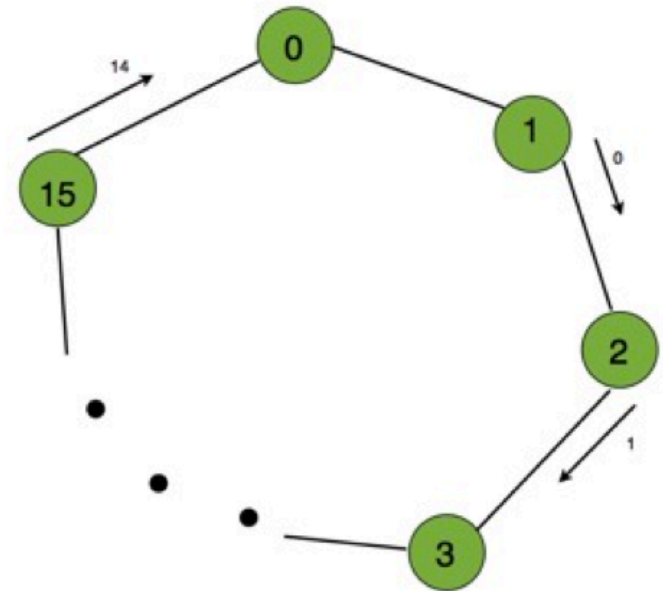
# Synthesizing parametric programs (yes we can!)

---

- Dealing with parametric protocols running on various configurations and architectures:
  - Variable number of processes,
  - Various communication topologies.
- Undecidable [Apt,Kozen] for algorithms in a ring.
- Ah, then we synthesize exactly such an algorithm!!

# Synthesizing parametric programs (yes we can!)

- First test case: leader in a unidirectional ring. Each process in a ring has a value and by exchanging messages in one direction. Find the process with highest value.
- Model checking is undecidable: *performs checks on specific values.*
- Succeeded to find  $n^2$  protocol [Lellan,Chang,Roberts] but not  $n \times \log n$  [Itai, Rodeh, Hirschberg, Sinclair].



# Synthesizing parametric protocols



---

- Perform model checking for particular cases: in the leader election problem, with certain ring sizes.
- Coevolution: remember instances (sizes) that caused more candidates to fail, and recheck them.
- No complete guarantee: terminate if enough checks passed.
- Model checking as enhanced testing: comprehensive verification for specific values.



# Process types

---

- Concurrent programs are built from process types
- Each process type
  - Has its own set of building blocks
  - Can have multiple running instances
  - Has a code skeleton, containing
    - Static parts defined by the user
    - Dynamic / empty part that have to be synthesized
- A special init process type is responsible for
  - Initialization of global variables
  - Creation of instances of the other process types



# Various Synthesis Goals

---

- By setting program parts as static or dynamic, various goals can be achieved
- All parts are set to **static**
  - Nothing to synthesize. Just running the enhanced model checking algorithm
- Setting some processes as **dynamic**
  - The tool will try to synthesize dynamic parts
    - Can synthesize parts from scratch
    - Can synthesize only specific parts
    - Can replace and correct required parts if given



# Model checking as enhanced testing



---

- For parametric programs, model checking is undecidable [Apt,Kozen].
- We can use testing but will have very little confidence.
- Perform model checking for specific instances (parameters, architectures).
- Model checking as an “extended testing”: check comprehensively for particular parameters. Higher confidence than just testing.
- Use genetic programming to select good instances!



# Coevolution

---

- Alternate between generating synthesis candidates and parameters for checking it.
- Different fitness functions for the two goals.
- Fitness for checking/testing parameters can increase with the number of candidates it manages to “destroy”.



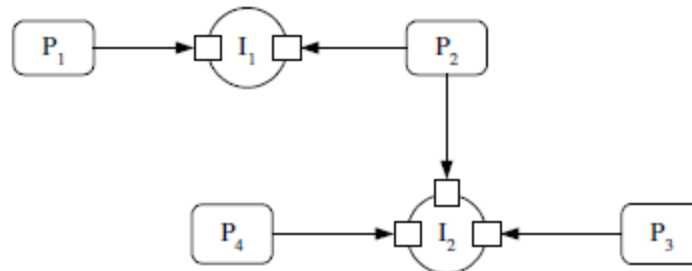
# Code Correction

---

- The goal is correcting existing protocols.
- The protocol's code is divided by the user into:
  - **Static** parts that should remain unchanged,
  - **Dynamic** parts that can be improved or replaced by the synthesis process.

# Motivating Example: The $\alpha$ -core Protocol

- Intended for allowing multiparty interactions between distributed processes.
- Published at **COORDINATION 2002** conf., and **Concurrency - Practice and Experience** Journal.
- Two types of processes: **Participants**, **Coordinators**
- Multiple **participants** may perform a shared interaction, which is managed by a dedicated **coordinator** process.





# The $\alpha$ -core Protocol

---

- Each process has its own state machine
- Processes communicate via asynchronous message passing
- The protocol should satisfy the following:
  - Exclusion between conflicting interactions.
  - If an interaction is committed, all of its participants must execute it.
  - Any enabled interaction is eventually committed or canceled.
    - **We showed that this requirement can be violated!**

# Synthesizing Violating Architectures



---

## ■ Main Idea:

- Architectures can be generated by some initialization code. Thus, they can be synthesized similarly to normal code.
- Define building blocks from which such code portions can be built.
- Use genetic programming for the automatic generation and evolution of versions of the initialization code.
- Define a fitness function that will guide us to the target architecture (violating the spec.).



# Initialization code for a-core Architectures

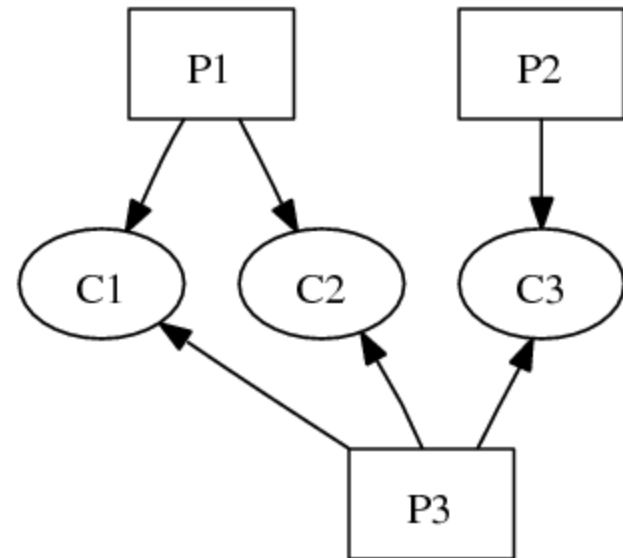
---

- We define the following building blocks:
  - **Participant, Coordinator** – constants of type `proc_type`
  - **CreateProc(`proc_type`)** – dynamically create new process of type `proc_type`
  - **Connect(`participant_id`, `coordinator_id`)** – connects between a particular participant and coordinator

# Initialization code for a-core Architectures - Example

- The code on the left generates the architecture on the right:

```
CreateProc(Participant)
CreateProc(Participant)
CreateProc(Participant)
CreateProc(Coordinator)
CreateProc(Coordinator)
CreateProc(Coordinator)
Connect(1, 4)
Connect(1, 5)
Connect(2, 6)
Connect(3, 4)
Connect(3, 5)
Connect(3, 6)
```





# Coevolution: Evolving Violating Architectures



---

- Search of architectures is guided by a fitness function, assigning a score for each generated architecture.
- Based on model checking, but the goal is to falsify the specification.
- Highest score is given when at least one LTL property is violated
- Lower scores can be assigned to architectures which are “close” to violating a property.

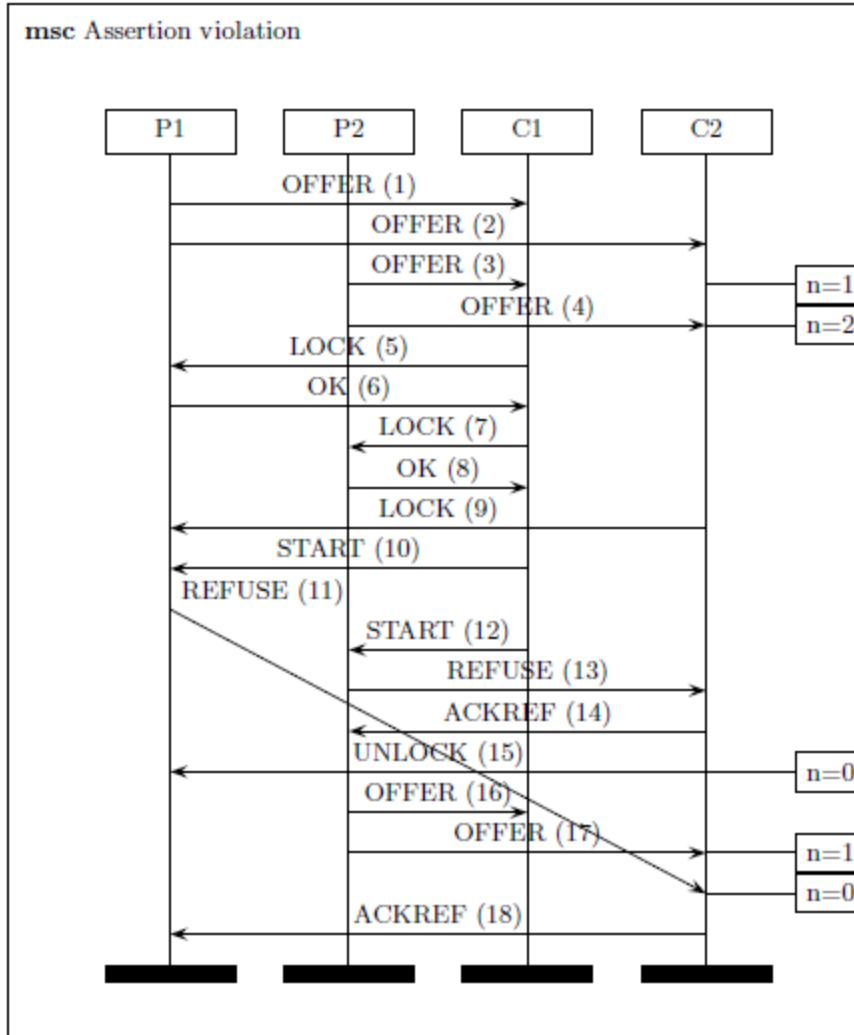


# Finding the $\alpha$ -core Bug

---

- Each coordinator process uses a variable  $n$  counting its currently active offers.
- $n$  should be decreased to  $0$  when an interaction is canceled.
- We suspected that this property might be violated in some rare cases, and fed the protocol and this property into our tool.
- The tool indeed discovered an architecture under which the property can be violated.
- The violation can lead to a livelocks and deadlocks in the algorithm.

# The Found Architecture and Counterexample



$n$  is wrongly decreased twice

# Correcting the a-core Bug

- The tool first found a correction for the above architecture.
- However, this correction was refuted by another discovered architecture.
- After a series of corrections and refutations, a final (and simple) solution was found, which could not be refuted.
- The solution includes the following code replacement:

```
If n > 0 then  
  n := n - 1
```



```
If sender ∈ shared then  
  n := n - 1
```



# Conclusions

---

- Formal methods (Testing, RV, Model Checking) have severe limitations:
  - High complexity.
  - Decidable under some strict conditions.
- Synthesis is even more difficult!
- Use genetic programming to enhance the performance and these methods and alleviate restrictions.



# More conclusions

---

- Genetic algorithms: heuristic beam search technique that combines ideas from evolution.
- Can be used to solve, e.g., optimization problems.
- Can be used to generate test cases.
- Genetic programming: similar ideas, but the objects are programs (represented as trees).



# Even more conclusions

---

- Can be used to synthesize concurrent code.
- Can be used to synthesize parametric code.
- Can be used to improve and correct code.
- Model checking of genetically selected parameters as extended testing.
- Many other applications, e.g., Optimizing code [Harman]